



Five Rivers Multi-Academy Trust Scheme of Reservation and Delegated Authority

Endeavour. Empower. Excel

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1 Introduction and Rationale

The Five Rivers Multi-Academy Trust (FRMAT) is a charitable company limited by guarantee. "It is an independent legal entity with whom the Secretary of State has decided to enter into a funding agreement on the basis of agreeing their articles of association." (Governance Handbook, 2017).

The Five Rivers Multi-Academy Trust is a registered charity which was incorporated in May 2016.

As an academy trust the FRMAT is a single legal entity, a charitable company, with a board that is accountable for all of the academies within the Trust. There is no lead academy within a MAT. The MAT board is equally responsible and accountable for the performance of all the academies within the Trust. Even where the Trust was originally established by one stronger academy 'taking on' weaker academies, once the MAT is formed it becomes one organisation and the Trust Board must not favour or show allegiance preferentially to one academy, but rather govern in the interests of all pupils and foster a common ethos and vision across the whole MAT. ¹

The Articles of Association for the Five Rivers Multi-Academy Trust (separate document) set out the internal management, decision making and mode of operation of the Trust, as well as its liabilities.

The Scheme of Delegation defines:

- the roles, responsibilities and decision making of the Trust Board;
- the person(s) to whom authority will be delegated for each role/responsibility/decision;
- the procedures to be followed by the person(s) with delegated authority.

The Trust Board delegates responsibility for the performance of the Trust, including academies within the Trust, to:

- committees of the Trust Board;
- the Chief Executive Officer (CEO) and the Executive Leadership Team (ELT);

The Scheme of Delegation is a critical document in the functioning of the Five Rivers Multi-Academy Trust. It is a "live" working document that not only guides the actions of those who work in the Trust but it also must reflect good governance and management.

The initial version of this document was approved by Trustees in November 2016, together with a separate Scheme of Financial Delegation.

This revised version (February 2017) incorporates the Scheme of Financial Delegation into the main document, as well as recent developments in the MAT, designed to improve and enhance governance and stakeholder engagement. This version builds on the initial version.

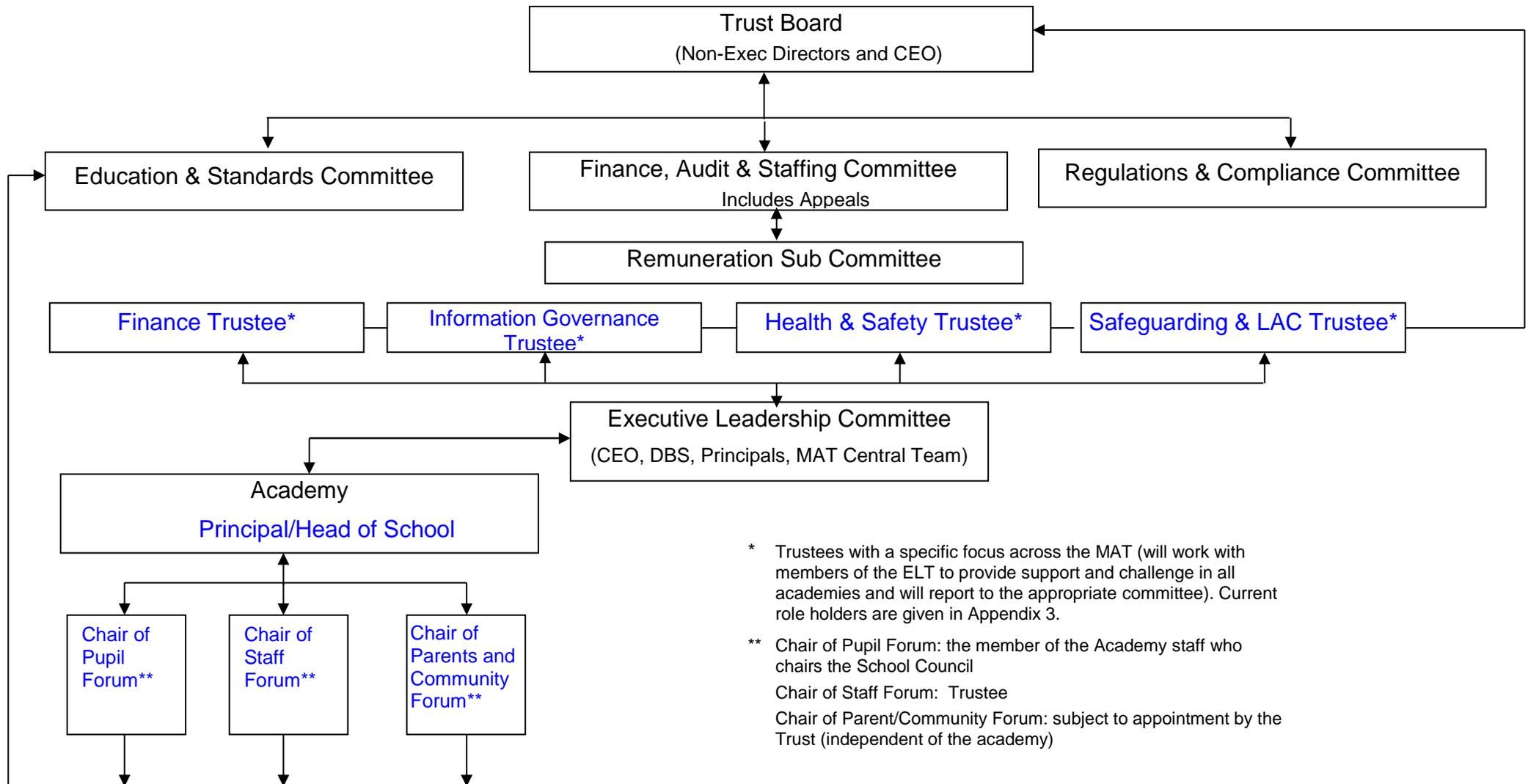
The document should be seen as a means of supporting the achievement of the Trust's Vision and Values (Appendix 1), whilst ensuring that the Trust meets all

¹ See Governance Handbook January 2017 section 5.2.2 for more details

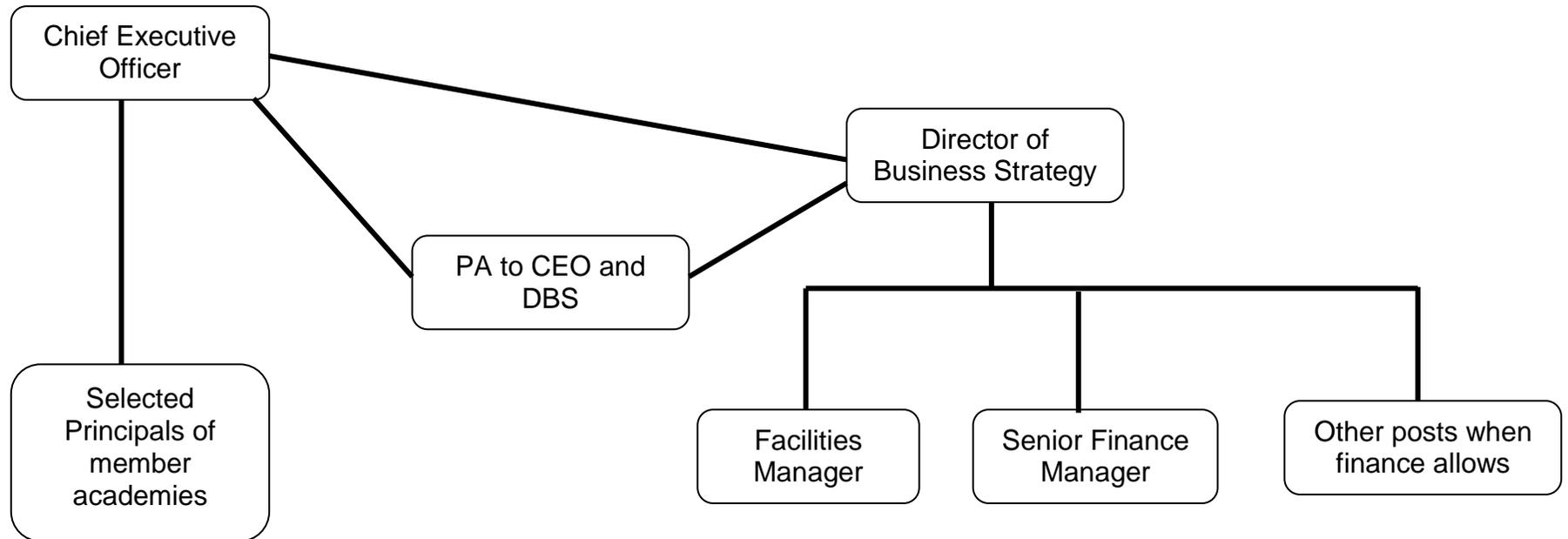
statutory obligations. There will also be a continuing reference to parents, staff and children in order to ensure engagement and involvement with the local community.

The overall structure of the governance and leadership of the FRMAT is shown in Section 2 of this document. The names of the role holders can be found in Appendix 3.

2 Overall Governance Structure (revised July 2017)



2.1 FRMAT Staffing Structure – Executive Leadership Team



3 Non-Executive Directors

Non-Executive Directors are responsible for ensuring that:

- the annual accounts for the MAT are filed with Companies House by the due date;
- the annual return for the MAT is filed with Companies House by the due date;
- Companies House is notified of any changes to the directors (additions or resignations);
- Companies House is notified of any change to the address of the MAT

*these responsibilities will all be delegated to the CEO and Executive Director(s)

The current membership is given in Appendix 3.

4 Members

The members are equivalent to the shareholders of the Academy Trust, a charitable company. They have ultimate control over the Academy Trust with the right to amend the Trust's Articles of Association.

Members are responsible for:

- the appointment of trustees;
- ensuring the governance structure is fit for purpose;
- holding the Trust Board to account;
- performance manage the Trustees, using an approximate three year cycle.²

Further details may be found in the Governance Handbook (Jan. 2017) section 5.2.1.

The current membership is given in Appendix 3.

5 Trustees

The Trustees are equivalent to the directors (Executive and Non-Executive) of the Academy Trust, a charitable company and must comply with company law requirements.

The trustees are responsible for setting the direction of the Academy Trust, holding the Chief Executive Officer (CEO) to account and ensuring financial probity. As charity trustees, they must also ensure that they are complying with charity law requirements.

Further details may be found in the Governance Handbook (Jan. 2017) section 5.2.1.

The current membership is given in Appendix 3.

² Approximately every 3 years, a Member will discuss with each Trustee, their performance, tenure, meeting attendance, etc.

Trustees have a number of areas of work:

Strategy and Planning:

- establishing and steering the Trust's clarity of purpose;
- scrutinising plans for growth or consolidation;
- education policy;
- information systems;
- Human Resource Management

Governance:

- overseeing effective governance and decision-making;
- internal control and managing risk: ensuring that the Trust is meeting its statutory and legal responsibilities.

Financial stewardship:

- ensuring the trust is financially competent and has a sustainable budget;
- audit;
- asset management;
- fundraising.

Performance:

- ensuring pupils are gaining the best education and experience possible;
- putting in place effective leadership and holding the executive leadership to account;
- performance management.

5.1 Strategy and Planning:

The Board of Trustees is responsible for:

approval of the Trust's:

- vision, mission and values;
- strategic direction;
- strategic and annual operating plans and budgets;
- Education Policy;
- communications and systems for ensuring effective stakeholder engagement;
- Quality Assurance framework.

planning the development and future growth of the MAT; liaising with the Finance, Audit and Staffing Committee re top slicing arrangements;

monitoring the strategic plan for the MAT in line with its growth model;

develop options, appraisals and proposals for the Trust Board in relation to the future sponsorship of academies within the MAT;

agree the MAT's corporate image, branding and marketing plans;

actively seek out research projects and national policy development projects that directly relate to and have the potential to benefit Five Rivers Multi Academy Trust;

agree a suite of corporate policies to be adopted by all academies within the MAT;

approval of the Development Plans, Post Inspection Action Plans and/or Academy Improvement Plans of the individual academies in the MAT.

monitoring of the policy implementation and decision making on action required.

approval of Information Systems Strategy and Policy.

approval of HR Strategy and Policies

final appeals for all staff under the Disciplinary and Grievance Policy.

appointment of the Trust's main legal advisers, investment advisers, & approving the terms of their appointment.

horizon scan to keep abreast of the national direction of travel and make recommendations to the Trust Board accordingly;

develop and monitor the strategic risk register for the MAT;

5.2 Governance:

The Board of Trustees is responsible for:

Review and approval of governance arrangements including:

- the schedule of Trust Board meetings and the format of the agendas;
- approval of the minutes;
- Trust Committee structures and terms of reference including those for the local governance arrangements;
- the approval of the constitution of academy forums;
- the election of a designated officer to carry out an initial review of new Local Governing Bodies;
- the decision to constitute an Academy Interim Board for any newly acquired academy for which there are concerns about performance, leadership or governance;
- the timing and transition arrangements for an AIB to be replaced by academy forums, once the Trust Board is satisfied that the academy has overcome initial concerns;
- levels of delegated authority including limits of financial authority;
- policy on Trustee Induction and Appraisal;
- support the creation, implementation and monitoring of the Trust's self-evaluation;
- Trust Board review and development;
- Trustee Code of Conduct and Conflict of Interest Policy;
- appointment of:
 - Trustees;
 - the Chair of Trustees;
 - Committee Chairs and Members

Internal control and managing risk:

Approval of the Trust's systems of internal control including policies on:

- health and safety;
- data protection;
- fraud prevention and detection;
- whistle blowing
- safeguarding

and decision on action required.

Approval of the Trust's risk management strategy and twice yearly review of:

- major risks;
- health and safety reports;
- safeguarding

and decision on action required.

Appointment of Trust's Responsible Officer and Accounting Officer;

Decision making on any other matter or prospective transaction which might reasonably be expected to expose the Trust to significant reputational, business, financial, legal or other risk.

5.3 Financial Stewardship:

The Board of Trustees is responsible for:

Approval of Financial Regulations/Standing Orders.

Approval of:

- opening/closing of bank accounts and cheque signatories;
- all loan agreements (including overdraft facilities, mortgages or other collateral or security);
- within budget revenue expenditures over £50,000.
- all unbudgeted revenue expenditures over £25,000;

Covenants on prospective restricted donations.³

Approval of the Trust's Reserves Policy.

Approval of Investment Strategy and Policy.

Monitoring and review of financial performance of the Trust and decision making on action required.

Audit:

³ To be reviewed in revised Financial Standing Orders

Appointment of external auditors and approval of changes to auditors' terms of engagement.

Review of external auditors' management letter and decisions re action on issues arising.

Approval of the Annual Report and Accounts.

Asset Management:

Approval of the sale, purchase or disposal of any capital asset over £50,000 in value in line with provisions of the Funding Agreement.

Fundraising

Approval of the Trust's Fundraising Strategy and Policy.

5.4 Performance:

The Board of Trustees is responsible for:

Pupil outcomes and experience:

Monitoring pupil performance in each academy in the Trust against a range of agreed measures, both formal and informal;

Ensuring that there are effective channels of communication between pupils and carers and the Trust Board members.

Ensuring effective leadership:

Appointment of the CEO and other Trust Senior Managers.

Approval of the structure of the Trust's Executive Leadership Team (beyond the academy) and each academy's SLT and staffing complement.

Nomination of Trustees to support the CEO in the recruitment of Executive Team members/Academy's SLT and approval of these appointments.

Review of the Trust Board skills requirements and recruitment of new Trustees to meet identified objectives.

Monitoring the effectiveness of the executive leadership against the agreed objectives of the Trust.

Performance Management:

Approval of the Trust's performance management and reporting framework including key performance indicators.

Monitoring of performance in implementing the strategy and managing the Trust, and decision-making on action required.

Approval of the Trust's Complaints Policy and decision making on appeals in line with the policy.

Approval of Policies for the Reward and Remuneration Strategy and annual pay award.

Approving a policy for the Executive Team's appraisal

Approving performance pay awards (or otherwise) of CEO following recommendations from the Remuneration Committee.

5.5 Delegation to the Chair and/or Vice Chair

Matters delegated to the Chair and/or Vice Chair of the Board of Trustees:

Strategy and Planning

Recommending a policy and procedure for the CEO's appraisal.

Leading in the process of recruiting the CEO and making recommendations to the Trust Board re an appointment.

Governance

- approval of Trust Board agendas and draft minutes for circulation to the Trust Board;
- final decision on interpretation of any aspects of the operation of the Trust Board or its Committees;
- recommendation to the Trust Board re: committee chairs and Members and chairs of academy forums.

Financial Stewardship

Authorisation of payment/signature of cheques in line with Financial Regulations/Standing Orders

Performance Management

Either directly or, by nominating a relevant Trustee, setting or reviewing the CEO's objectives, carrying out a performance appraisal on behalf of the Trust Board and reporting on this to the Trust Board.

6 Committees of the Board of Trustees

Committees will be deemed to be quorate when three trustees are present. Virtual or remote attendance (Skype, telephone or via other ICT links) will be accepted.

Chairs and Vice Chairs of Committees will be elected at the first meeting of the committee. This will be reviewed annually thereafter as part of the business of the Committee.

The notes of all committee meetings will be confirmed by the committee members then circulated to all members of the Trust Board.

The current membership of the committees is given in Appendix 3.

6.1 Education and Standards Committee

The purpose of the Education and Standards Committee (ESC) is to monitor the performance of the MAT and the performance of academies within the MAT. To hold academies to account for effective accountability and high performance.

The committee will:

- ensure that the highest possible standards are set and maintained across the Academy Trust;
- receive a termly report from the Executive Leadership Team regarding standards and performance of the Academy Trust against key performance indicators;
- identify any areas of concern in respect of standards and performance and implement an action plan with the Executive Team;
- ensure that the Academy Trust's curricula are balanced and broadly based. This will require an annual statement from the CEO confirming that the academies are compliant;
- ensure that effective processes are in place for the quality assurance of teaching and learning, the curriculum, inclusion and the sharing of good practice across the Academy Trust;
- support the Chief Executive Officer in the creation, implementation and monitoring of any post-OFSTED action plans;
- ensure that effective arrangements are in place in each of the academies in the Trust for pupil support and representation - a statement is required from each academy detailing how they are capturing pupil voice;
- ensure that effective arrangements are in place for monitoring pupil attendance;
- ensure that effective arrangements are in place for monitoring pupil discipline and behaviour;
- agree an approach for the monitoring of performance of new arrivals including associated reports and research;
- receive initial assessments on new governing bodies and agree any intervening action as required;
- agree standards and common goals to ensure the safeguarding of children across the MAT;
- ensure a designated Safeguarding Trustee is identified;
- assess compliance with local and national safeguarding requirements using the LA safeguarding audit template which must be completed by each academy;
- horizon scan and keep the responsibilities of the committee under review.

6.2 Audit, Finance and Staffing Committee

The purpose of the Audit, Finance and Staffing Committee (AFSC) is to ensure financial probity of the Trust and strategically plan and monitor the financial growth of the MAT.

The committee will:

- develop a financial strategy for the Academy Trust and consider policies, procedures or plans required to realise such strategy;
- consider the Academy Trust's indicative funding, once notified by the ESFA, and assess its implications for the Academy Trust, in consultation with the CEO and the Finance Director, in advance of the financial year, drawing any matters of significance or concern to the attention of the Trustees;
- scrutinise and challenge the business aspects of the Trust's strategic and annual plans and budgets with advice to the Trust Board;
- receive academies' budgets for approval;
- consider and recommend acceptance/non-acceptance of the Academy Trust's budget to the Trustees;
- monitor any variances from the budget and ensure the ESFA is notified as required;
- receive and make recommendations on the broad budget headings and areas of expenditure to be adopted each year, including the level and use of any contingency fund or balances, ensuring the compatibility of all such proposals with the development priorities set out in the Academy Trust development plan;
- liaise with the Education and Standards Committee and the Regulations and Compliance Committee and make recommendations to those committees about the financial aspects of matters being considered by them;
- monitor and review income and expenditure on a regular basis and ensure compliance with the overall financial plan for the Academy Trust, drawing any matters of concern to the attention of the Trust Board;
- monitor and review procedures for ensuring the effective implementation and operation of financial procedures, on a regular basis, including the implementation of bank account arrangements and, where appropriate to make recommendations for improvement;
- prepare the financial statement to form part of the annual report of the Trustees;
- ensure the Academy Trust's commercial and fundraising activities are carried out effectively;
- examine and review new initiatives for financial development, including fundraising;
- ensure sufficient capacity for growth;
- oversee significant investment and capital financing decisions;
- consider, determine and keep under review any strategies and policies for human resources and organisational development;
- consider, determine and keep under review effective arrangements for consultation with staff as a whole and for negotiation and consultation with appropriately recognised Trade Unions and/or other representatives (e.g. annual pay negotiations);

- undertake the role of the dispute resolution panel to consider appeals against the Chief Executive Officer or Academy Trust decisions;
- ensure effective measures are in place to promote equality and diversity in employment;
- consider the details of restructuring programs following any necessary approvals in principle by the Trust Board;
- develop strategy and policy in all matters relating to the recruitment, reward, retention, motivation and development of the Academy Trust's staff, in liaison with MAT workforce (consultation);
- consider the appointment of the external auditor, the audit fee and any questions of resignation or dismissal;
- review the annual financial statements before submission to the Trust Board;
- promptly notify the Trust Board of all financial matters of which the Committee has knowledge and which may materially affect the current or future position of the Academy Trust;
- review the internal audit function, consider the major findings of internal audit investigations and the management's response, and ensure co-ordination between the internal and external auditors;
- undertake a detailed scrutiny of the Trust's:
 - performance management and reporting framework;
 - business performance against plans and budgets;
 and advise the Trust Board accordingly;
- undertake a detailed scrutiny of:
 - financial regulations/Standing Orders;
 - all financial decisions reserved to the Trust Board
 - Investment Strategy and Policy;
 - Co-opted Trustee & internal/external audits
 - Investment providers and products;
 - investment performance;
 - financial performance.
 - **the Trust's strategy for the prevention of fraud, theft and corruption**
 and advise the Trust Board;
- review the Trust's Reserves Policy and provide advice to the Trust Board;
- approve the format of management accounts;
- approve insurance providers and level of cover;
- provide an oversight of and advice on legal/insurance issues
(although please note that, should any academy be considering claiming on their insurance, the claim MUST have prior approval from the Trust Board);

- monitor and investigate of any matters of concern within its terms of reference, including seeking relevant professional advice; provide appropriate advice/reports to the Trust Board;
- escalate to the Trust Board any issues or concerns which might reasonably be expected to expose the Trust to significant reputational, business, financial, legal or other risk;
- **consider all financial risks, report to the Regulations & Compliance Committee, and agree a programme of risk management to ensure the Trust is compliant with ESFA / DfE requirements and is acting with probity and transparency;**
- make recommendations annually to the Trust Board re the appointment of external auditors and the setting of the scale of fees;
- approve the scope of work;
- consider the management letter and advice to the Trust Board re actions arising from and adoption of the Annual Report and Accounts;
- undertake a detailed scrutiny of:
 - the Fundraising Strategy, Policy and performance;
 - Information Systems Strategy, Policy, and performance;
 - asset management strategy and performance
 and advise the Trust Board;
- review and make recommendations to the Trust Board re:
 - recruitment policies
 - HR Strategy and Policies including Reward and Remuneration Strategy and terms and conditions of employment.
 - the staff annual pay award.
- approve the Trust's bankers;
- review and make recommendations re the Trust's main legal advisers, investment advisers and the terms of their appointment;
- be notified of dismissal decisions by an academy SLT;
- delegate representatives from the Audit, Finance and Staffing Committee to participate in disciplinary hearings / appeals where the member of staff under procedure is senior (e.g. Principal / Head of School);
- agree internal control arrangements and methods for monitoring of such arrangements;
- agree a financial procedures manual that includes clear separation of responsibilities;
- agree a competitive tendering policy;
- agree a charging policy for the MAT;
- Agree the disposal of movable assets valued £10,001 and above.

6.2.1 Remuneration Sub-Committee:

The sub-committee will:

- review levels of remuneration of all staff, with independent expert advice where necessary;
- oversee the appraisals of the CEO and make recommendations to the Trust Board regarding any performance pay awards;
- approve any performance pay awards for the Academy's SLT and Executive Leadership Team (beyond the academy) on the basis of recommendations and approve new appointments to the Executive Leadership Team.

6.3 Regulations and Compliance Committee

The purpose of the Regulations and Compliance Committee (RCC) is to ensure that all relevant regulations are in place across the MAT and all departments are compliant with MAT policies and procedures

The committee will:

- agree a suite of corporate policies (taking into account all statutory duties) to be adopted by all academies within the MAT;
- review all MAT-wide policies;
- ensure that all policies are reviewed appropriately;
- receive termly reports from the Executive Leadership Team regarding Health and Safety standards across the MAT (this includes Trust delegated standards and national standards as set out by the Health & Safety Executive);
- develop and monitor (quarterly) the MAT's strategic risk register; reporting significant risks to other committees or the Trust Board as appropriate;
- ~~consider all financial risks and agree a programme of risk management to ensure the Trust is compliant with ESFA / DfE requirements and is acting with probity and transparency;~~
- oversee the development of a Quality Assurance framework for the MAT and submit the plans to the Trust Board for approval;
- monitor the implementation of the QA procedures;
- receive initial assessments of the governing bodies of new academies, agree any intervening action as required, and report to the Trust Board;
- receive reports on academy level and Trust level website compliance annually (or as new academies join the trust);
- receive reports annually on Trust-wide compliance with information governance regulations;
- monitor complaints and advise the Trust Board in line with the Complaints Policy;
- horizon scan and keep the responsibilities of the committee under review.

7 CEO and Executive Leadership Team

The CEO and Executive Leadership Team (ELT) are responsible for supporting the Trust Board in all aspects of the operation and development of the Multi-Academy Trust. They provide a link between the individual academies and the Trust.

The current post-holders are listed in Appendix 3.

Strategy and Planning:

The CEO and ELT will support the Trust Board in developing/setting the Trust's overall strategy.

Governance:

The CEO and ELT will contribute to the development of the Trust's governance arrangements.

Financial Stewardship

The CEO and ELT will:

- provide management, oversight and reporting of day to day expenditure within academy budgets;
- contribute to the development of the Trust's Financial Regulations/Standing Orders for review/approval;
- manage the Trust's finances in accordance with Financial Regulations/Standing Orders.

Performance

The CEO and ELT will be responsible for:

- ensuring that the education provision, in all of the academies in the Trust, is of a high standard and meets the needs of all of the pupils;
- the development and operation of the performance management and reporting frameworks, and the development of the Trust's Complaints Policy for approval.

7.1 Strategy and Planning:

The CEO and ELT will:

- support the Trust Board in developing/setting the Trust's overall strategy including:
 - early consultation with the Trust Board;
 - drafting propositions for Committee/Trust Board review;
 - development of strategic and operating plans and budgets for review by Committees/approval by the Trust Board;
- ensure the Academy Development Plans align with the Trust's strategy;
- support the Trust's growth strategy through presenting recommendations and competing, appropriate bid processes etc.;
- advise on and support the development and implementation of the Trust's:
 - Education policy;

- communication processes, including systems for ensuring effective stakeholder engagement;
- ensure the effective management of the academies and other initiatives in line with policy/quality standards;
- advise on and ensure the implementation of the Trust's Admissions Policy.

7.2 Governance:

The CEO and ELT will:

- contribute to the development and review of the Trust's governance arrangements, including liaison with the Trust's legal advisers;
- plan/organise Trust Board meetings, preparation of agendas, papers and minutes in liaison with the Chair;
- ensure the effective servicing and support of Trust Board Committees;
- develop a management scheme of reservation and delegation and ensure its effective implementation;
 -
- ensure that local governance forums are set up in each academy (unless other arrangements have been agreed by the Trust Board);
- advise on the Chair/Vice chair for each forum;
- support the provision of the necessary servicing for the forums;

7.3 Financial stewardship:

The CEO and ELT will:

- support the development of the Trust's Reserves Policy;
- make recommendations on selection of investment providers; monitor and report on performance;
- prepare management accounts and financial reports;
- develop the Trust's financial reporting framework for approval;
- develop systems of internal control and risk management, ensuring their effective operation; report to the Audit, Finance and Staffing Committee (AFSC) /the Trust Board, as appropriate;
- escalate to the Regulations and Compliance Committee any issues or concerns which might reasonably be expected to expose the Trust to significant reputational, business, financial, legal or other risk;
- develop systems of internal control and risk management, relating to pupil provision, safeguarding, curriculum, teaching and learning and Health and Safety, ensuring their effective operation, and reporting to the Trust Board, as appropriate;

- select insurers/level of cover for AFSC approval;
- liaise with the Trust's insurers and legal advisers on any relevant issues and report to the AFSC/the Trust Board, as appropriate;
- support and cooperate with the work of external auditors as determined by the AFSC/Trust Board;
- prepare Annual Report and Accounts.
- respond to and act on issues raised in the external auditor's management letter;
- manage the process for the appointment of external auditors;
- plan and liaise with external auditors;
- advise on and identify requirements for fundraising initiatives in line with strategy and policy;
- develop a Fundraising Strategy and Policy for Regulations and Compliance Committee approval and ensure its effective implementation;
- support the implementation and operation of the Academies' Information Systems;
- develop an Information Systems Strategy and Policy for approval by the Regulations and Compliance Committee, and ensure its effective implementation;
- arrange the sale, purchase or disposal of capital assets <£50K and make recommendations re purchase of land/property or sale of fixed assets >£50K, in line with the provisions of the Funding Agreement;
- develop a strategy for the management of fixed assets and its implementation;
- lead on the recruitment of the academy's SLT in consultation with nominated Trustees;
- lead on the recruitment of the Trust's Executive Team (beyond the academy) in consultation with nominated Trustees and make recommendations re appointments for Trust Board approval;
- develop the Executive Leadership Team structure;
- develop the HR Strategy and Policies for Regulations and Compliance Committee review and approval;
- appoint and manage all staff below SLT level in the academy in line with agreed HR policy;
- set the objectives of the academy's SLT and staff, manage their performance and make recommendations regarding their level of remuneration;
- set the objectives of the Executive Leadership Team (beyond the academy) manage their performance and make recommendations regarding their level of remuneration;
- manage **all other staff in the Executive Leadership Team** beyond the academy in line with agreed HR policy;

- report on HR issues to a **nominated Trustee** on a regular basis;

7.4 Performance:

The CEO and ELT will:

- lead the development of a curriculum in all of the Trust academies which will meet the needs of all pupils;
- ensure consistency of expectations across the academies;
- ensure that the curriculum is delivered to the highest possible standard;
- deploy lead practitioners according to identified need and discussion with the academy Principals;
- scrutinise the Academy Development Plans, Post Inspection Action Plans and/or Academy Improvement Plans and report to the Education and Standards Committee.
- develop and operate the academies' performance management and reporting frameworks;
- develop the Trust's Complaints Policy for approval;
- implement the Trust's Complaints Policy at academy level;
- manage complaints in line with the policy;
- produce a report on complaints for the Regulations and Compliance Committee;
- support and facilitate the AFSC's responsibilities for overseeing;
 - the performance management and reporting framework;
 - business performance against plans and budgets;
- deliver the strategy and operational management of the Trust; report on progress to the Trust Board;
- support the development of a Principals' Operational Group to facilitate the sharing of good practice and the resolution of problems;
- facilitate the development of stakeholder groups (forums) for parents, pupils and staff in each academy;
- ensure that academy Principals/Heads of School understand the structure and purpose of the forums in their academy;
- ensure that the forums are set up in each academy according to the agreed terms of reference;
- ensure that Trust business for the forums is communicated to the Principals/Heads of School in good time;
- ensure that the notes of the forum meetings are reported to the Education and Standards Committee

7.5 Academy Intervention Board

An Academy Intervention Board (AIB) will be established for a newly acquired academy if the Trust Board, on the advice of the CEO, are concerned that additional support and guidance is required for the Principal and the SLT and that the normal local governance arrangements of the MAT are not appropriate at the time of academisation;

The Chair and members of the AIB will be appointed by the Trust Board on the advice of the CEO.

The delegated functions of the AIB will be limited to those which are critical to the progress of the academy to Good.

These critical functions will be determined by the AIB and the CEO.

7.6 Stakeholder Forums

Three stakeholder forums will be established in each academy of the MAT, once the academy is deemed to be stable and progressing. The forums will be:

Children's Forum

Staff Forum:

Parents and Community Forum

In each case the objective is to:

- create a communication channel between the constituency of the forum and the Trust Board;
- enable each constituency to raise issues of interest/concern with respect to an individual academy or the MAT as a whole;
- provide the Trust Board with the means of informing/consulting with all stakeholders about MAT-wide activities and future plans.

7.6.1 Children's Forum

The Executive Leadership Team (ELT) and Trust Board of the Multi-Academy Trust (MAT) recognise the importance of a dialogue between children and their academy in order to establish the vital partnership necessary to support the children's learning and the development of the MAT.

They will ensure that the views of children are sought and taken into account in the strategic decision making process as well as the day to day operation of the school.

The Children's Forum will be a key part of this process.

The Chair/Vice Chair will be named members of SLT within the academy.

The Children's Forum will be an integral part of the School Council.

Membership will be the School Council membership.

The Children's Forum will:

- become a place for children to express their views about their academy and the MAT;
- act as a sounding board for the SLT, ELT and Trust Board around future developments for the academy and the MAT.
- actively encourage and support all children to participate in the process of academy improvement;
- act as a listening post for other children and feed their views and comments into discussions of the Forum;
- review, develop and monitor the school's contribution to children's well-being, including the extent to which children feel safe, adopt healthy lifestyles and contribute to the school and wider community
- assist in finding solutions to issues raised and discussed at the meetings of the Children's Forum;
- meet at least 3 times a year (once every term);
- business will be part of a School Council meeting once per term;
- disseminate its outcomes with the School Council minutes which are posted on the academy website and also circulated to the ELT;
- report on the work of the Children's Forum to other children;
- agenda planning will be standing item at each Children's Forum meeting.

Review of the Children's Forum business will be a standing agenda item for the Trust Board Regulations and Compliance Committee.

7.6.2 Staff Forum

Five Rivers Multi-Academy Trust (FRMAT) is committed to supporting and developing a Staff Forum in each of its academies.

The Executive Leadership Team (ELT) and Trust Board of the Multi-Academy Trust (MAT) recognise the importance of a dialogue between staff members and their academy and the MAT, in order to establish the vital partnership necessary to support the children's learning.

The Chair and Vice Chair will be independent of the staff and ELT.

Membership is all staff.

Agenda items will be suggested by staff using communication mechanisms within the school, or will come from the Trust Board.

The Staff Forum will:

- become a place for staff to express their views about their academy and the MAT;
- actively encourage and support all staff members to participate in the process of academy and MAT improvement;
- support the academy and the MAT in communicating effectively with staff;
- act as a sounding board for the ELT and Trust Board around future developments for the academy and the MAT.
- be involved in commenting on specific Trust/academy policies and practice and provide feedback to the academy, ELT and the Trust Board;
- help to develop systems to enable Five Rivers Multi-Academy Trust to communicate more effectively with staff;
- assist in finding solutions to issues raised and discussed at the meetings of the Staff Forum;
- recognise that the Staff Forum is an advisory and consultative body;
- meet for up to an hour, on INSET days once per term and will form the first item of the programme for each INSET day;
- set the agenda at least two weeks ahead of the INSET day
- ensure that the Staff Forum notes are available for all staff by email, or by hard copy to those members of staff who do not have email. Copies of notes will also be circulated to the ELT.

The Staff Forum will **not**:

- become involved in staffing, personnel or financial/contractual issues;
- get involved in discussions surrounding individual children, staff or parents;
- become a forum for individual staff issues. These must follow the normal FRMAT procedures.

Review of Forum business will be a standing agenda item for the Trust Board Regulations and Compliance Committee

7.6.3 Parent and Community Forum

Five Rivers Multi-Academy Trust (FRMAT) is committed to supporting and developing a Parent & Community Forum in each of its academies.

The Executive Leadership Team (ELT) and Trust Board of the Multi-Academy Trust (MAT) recognise the importance of a dialogue between parents and their academy in order to establish the vital partnership necessary to support the children's learning.

The academy and the MAT are enthusiastic about engaging with the wider community, not only to enrich the educational provision but also to foster understanding and tolerance.

In order to facilitate the meetings of this forum, the following structure will be used:

- the Chair and Vice Chair will be independent of the school community and the Trust Board;

- initially the roles will be taken by designated staff members from another school within the MAT;
- two parent representatives from each year group (constituency) will be elected annually by secret ballot and will form a steering group together with the Chair/Vice Chair;
- the steering group will consult with parents and the academy SLT to identify future agenda items;
- the steering group will decide on the programme of meetings for all parents;
- the Principal/Head of School will ensure that a clerk is provided, who will act as secretary for the meetings;
- the clerk will arrange to send out the notes of the meetings, any other relevant paperwork and invitations prior to the meetings;
- where specific groups of the academy community are under-represented, the academy and the Parent & Community Forum will seek to recruit or facilitate the engagement of parents to ensure that the Forum represents all groups in the academy;
- each meeting will be 'open' and advertised widely;
- all parents with children at the school, and representatives of the related local community may attend. A member of the SLT will also attend.

The Parent & Community Forum will:

- encourage parents to participate in the life and work of their academy;
- become a place for parents to express their views about their academy and the MAT;
- engage with community representatives so that concerns of the wider community are also given a voice;
- actively encourage and support all parents to participate in the process of academy improvement;
- support the academy in communicating effectively with parents;
- act as a sounding board for the SLT, ELT and Trust Board around future developments for the academy and the MAT.
- provide comment on specific academy policies and practice and provide feedback to the academy SLT and ELT;
- enable Five Rivers Multi-Academy Trust to communicate more effectively with parents;
- assist in finding solutions to issues raised and discussed at their meetings;
- recognise that the Parent & Community Forum is an advisory and consultative body;

- uphold the FRMAT's Code of Conduct⁴ (website);
- the Parent & Community Forum will meet at least 6 times a year (once every half term) for no longer than an hour each time;
- make the notes of the meetings available to all parents via notice boards in the academy and the academy website. Copies of notes will also be circulated to the ELT.

The Parent & Community Forum will **not**:

- become involved in staffing, personnel or financial/contractual issues;
- get involved in discussions surrounding individual children, staff or parents;
- become a forum for individual parents' complaints. These have to follow the normal FRMAT procedures. This does not prevent parents giving their views on topics being discussed.

Review of Forum business will be a standing agenda item for the Trust Board Regulations and Compliance Committee

⁴ The MAT reserves the right to withdraw membership from anyone who is in breach of the Code of Conduct

8 Financial delegation

8.1 Introduction

FRMAT aims to be a cost-effective academy trust. A contribution will be levied from each academy's GAG / Early Years income, which gives them access to a range of functions and services as and when required. This excludes any surplus carried forward or income generated by the academy. Enhanced services will be available to each academy on the basis of an individual service level agreement. In addition, when necessary, specific charges are made for bespoke intervention when curriculum and performance require e.g. long term or significant deployment of lead practitioners. In accordance with the Academies Financial Handbook there is a right of appeal for each academy to challenge the level of contribution to the central functions.

8.2 Budgeting

Delegated Duty	Value	Delegated Authority	Method
Setting the budget for the Trust	N/A	<p>Draft: CEO, Director of Business Strategy and Trust Finance Manager</p> <p>Agree: Finance, Audit and Staffing Committee</p> <p>Final Approval: Trust Board</p>	Budget set in line with agreed trust priorities
Setting the academy level budget	N/A	<p>Draft: Principal / Head of School and academy Finance Manager / Business Manager or equivalent</p> <p>Agree: Principal, CEO, Director of Business Strategy and Trust Finance Manager</p> <p>Approval of budget for submission to MAT: Local Governing Body</p> <p>Final approval of academy budget: Trust Finance, Audit and Staffing Committee and Trust Board</p>	Budget set in line with agreed trust and academy priorities

8.3 Purchases, Payments and Expenditure

Delegated Duty	Value	Delegated Authority	Method
Ordering goods and services within the approved budget and ensuring that sufficient cash resources are available for the purchase	Single order up to the value of £5,000	Academy Finance Manager / Business Manager (or equivalent, or designated person) to process order on PS Financials	Budget heading to be checked to ensure affordability. Order processed on PS Financials and dual authorised by Principal / Head of School. The order is placed securing value for money and using a preferred supplier wherever possible
	Single order between the value of £5,001- £30,000	Academy Finance Manager / Business Manager (or equivalent or designated person) to process order on PS Financials	As above plus minimum of three quotes sought before order is made and preferred supplier agreed by Principal / Head of School
	Single order between the value of £30,001- £50,000	As above plus CEO and / or Director of Business Strategy signature on order form	Competitive tendering process is carried out as per the Competitive Tendering Policy
	Single order between the value of £50,001- £100,000	As above plus Trust Finance, Audit and Staffing Committee approval of purchase which is minuted in a relevant meeting or approval confirmed via email.	Competitive tendering process is carried out as per the Competitive Tendering Policy
	Single order over the value of £100,000	As above plus Trust Board of Directors to approve the order before processing. Confirmation of approval to be minuted in a relevant meeting or via email.	Competitive tendering process is carried out as per the Competitive Tendering Policy. This also may include inviting tenders on OJEU depending on the value
Goods received	N/A	Academy Receptionist / Administrative Assistant to receive goods	All goods are checked upon delivery and goods received note is ticked and signed to confirm

Delegated Duty	Value	Delegated Authority	Method
			<p>receipt. This is then passed to the Academy Finance Manager / Business Manager (or equivalent) within the academy.</p> <p>Any damaged or non-required goods are returned by the Academy Finance Manager / Business Manager (or equivalent) once notified by the goods receiver.</p>
Payments by BACS, Cheque or Bank Transfer (Only to be processed where an authorised order / invoice exists)	Single transaction of up to £5,000	Two authorised signatories on BACS report (Bank account transactions are subject to dual authorisation)	Processed Academy Finance Manager / Business Manager (or equivalent) after checking there are sufficient funds in the bank
	Single transaction of up £5,001- £20,000	Three authorised signatories on BACS report (Bank account transactions are subject to dual authorisation)	Processed by Academy Finance Manager / Business Manager (or equivalent) after checking there are sufficient funds in the bank
	Single transaction of up £20,001- £100,000	Two authorised signatories plus the Director of Business Strategy or CEO on BACS report (Bank account transactions are subject to dual authorisation)	Processed by Academy Finance Manager / Business Manager (or equivalent) after checking there are sufficient funds in the bank
	Single transaction of up £100,001 and over	Director of Business Strategy and CEO signatures on BACS report (Bank account transactions are subject to dual authorisation)	Processed by Academy Finance Manager / Business Manager (or equivalent) after checking there are sufficient funds in the bank

Delegated Duty	Value	Delegated Authority	Method
Standing orders and direct debits	As per limits for cheque and BACs payments (authorisation sought at the time of setting up the standing order / direct debit and signature obtained)		
Academy Procurement Cards	Monthly limit £1,000	Authorised cardholders within academies	All transactions must be supported by a receipt and given to the Academy Finance Manager / Business Manager (or equivalent) for reconciliation in line with procedure for use of academy procurement cards.
Petty cash	Up to £50	Academy Finance Manager / Business Manager (or equivalent)	Pre-approval from the Principal or Head of School is needed before any purchases take place. All transactions must be supported by a VAT receipt and given to the Academy Finance Manager / Business Manager (or equivalent) with a petty cash form which has also been authorised by the Principal or Head of School – this is in line with the Petty Cash Procedure.
	£51-£120 (to cover cost of school transport for educational visits only)	Academy Finance Manager / Business Manager (or equivalent)	
Virements (Requests for spend in excess of approved budget heading but within overall approved budget for the Academy)	Up to £10k	Principal or Head of School (signature to be obtained for audit purposes)	All requests should be recorded in writing (either email or in formal minutes) Formal response to request also provided back to the request maker in writing and kept on file.
	£10,001-£50,000	As above, plus CEO and Director of Business Strategy (signature to be obtained for audit purposes)	
	Over £50k	Finance, Audit and Staffing Committee and Board of Directors (decision minuted for audit purposes)	

Delegated Duty	Value	Delegated Authority	Method
Requests for spend in excess of approved budget heading AND outside the overall approved budget for the academy	Any	CEO and Director of Business Strategy take request to Finance, Audit and Staffing Committee. Decision minuted for audit purposes.	Refer to Reserves Policy

8.4 Income

Delegated Duty	Value	Delegated Authority	Method
Collection and receipt of cash and cheques	Any	Receiver to pass immediately to Academy Finance Manager / Business Manager (or equivalent)	All cash collected is stored in the academy safe until banking. Receipts are given for all cash and cheques received and a copy kept for academy filing.
Banking of cash and cheques	Any	Academy Finance Manager / Business Manager (or equivalent) or other delegated Administration Assistant	Cash and cheques should be banked regularly and should not be allowed to accumulate over £3,000. Banking should be checked and agreed by another member of staff before being banked and should relate back to receipts issued. All cash and cheques, once bagged appropriately should be transported to the bank by the academy's security contractor. In the event of the need to bank monies outside of this arrangement, two members of staff should travel to the bank together as per the Trust finance policy.
Sales Invoices	Any	Academy Finance Manager / Business	All sales invoices must be processed through the financial

Delegated Duty	Value	Delegated Authority	Method
		Manager (or equivalent) to raise invoice on PSF.	management system and issued in a timely manner.
Income by bank transfer: GAG funding, LA funding, etc	Any	Academy Finance Manager / Business Manager (or equivalent) to process on PSF as part of monthly bank reconciliation process.	All income (except core GAG funding) received directly to the academy's bank account should be supported by a remittance advice. Where a remittance is missing, the Academy Finance Manager / Business Manager (or equivalent) should contact the sender and request an email detailing the nature of the income.

8.5 PSF User Maintenance and Financial Accounting

Delegated Duty	Value	Delegated Authority	Method
Setting up new users of PSF	N/A	Director of Business Strategy and Trust Finance Manager	All new users to be given appropriate access levels
Setting up new suppliers on to PSF	N/A	Trust Finance Manager	
Month end procedures / monthly management accounts: <ul style="list-style-type: none"> • Salaries and NI / Pensions inputting • VAT returns • Bank reconciliation • Opening / closing VAT periods • 	N/A	Trust Finance Manager	Academy Finance Manager / Business Manager (or equivalent) to provide monthly staffing changes information to inform month end procedures. Monthly budget variation reports to be shared with Principal or Head of School.

Producing monthly MAT budget variance reports for Trustees	N/A	Trust Finance Manager / Director of Business Strategy	
Preparation and filing of annual reports and accounts with Companies House	N/A	Hart Shaw Accountants in partnership with the Director of Business Strategy and Trust Finance Manager	
Annual auditing of accounts and audit report	N/A	Hart Shaw Accountants (independent auditors)	
Maintenance of the Fixed Asset Register	All	Trust Finance Manager in liaison with Facilities Manager	All assets to the value of £2,000 either individually or as a group will need to be recorded in the asset register

8.6 Wages and Salaries

Delegated Duty	Value	Delegated Authority	Method
Appointment of Staff	Up to Senior Leadership Team	Principal or Head of School	As per the Trust's Recruitment and Selection Procedure
	Deputy Principal	Principal or Head of School, Chief Executive Officer, Director of Business Strategy and 1x Trustee or Governor	As per the Trust's Recruitment and Selection Procedure
	Principal	Chief Executive plus 2x Trustees and 1x Governor	As per the Trust's Recruitment and Selection Procedure
Payroll Processing	N/A	CAPITA Payroll Service Provider	School HR / Business Manager to liaise with payroll to ensure any staffing changes are communicated. These changes are signed as per 'appointment of staff' before they are made on the capita system.

Delegated Duty	Value	Delegated Authority	Method
Contracts of Employment	All	School Business Manager / HR Manager or equivalent	All contracts of employment should be kept up to date. Any contractual changes need to be authorised by the appropriate level as per 'appointment of staff' and filed in the member's file. These contracts will be periodically checked by the responsible officer and the auditors.
Dismissal of staff	Up to but not including Deputy Head level	Principal or Head of School plus Chair of Local Governing Body	Dismissal notice from academy with letter of dismissal being administered by the Trust
	Deputy Head	Principal or Head of School plus Chair of Local Governing Body and CEO	Dismissal notice from academy with letter of dismissal being administered by the Trust
	Principal	Chief Executive Officer and representation from Trust Audit, Finance and Staffing Committee and or Trust Board	Dismissal notice and letter administered by the CEO Appeals to be managed by Trust Board.

8.7 Non Routine Transactions

Delegated Duty	Value	Delegated Authority	Method
Liabilities and Write-Offs	<p>1% of total annual income of £45k (whichever is smaller) per single transaction</p> <p>Cumulatively, 2.5% of total annual income in any one financial year per category of transaction for any ATs that have not submitted timely, unqualified financial returns for the</p>	Principal, CEO and Director of Business Strategy	Anything above these limits must be approved by the Secretary of State through the ESFA

Delegated Duty	Value	Delegated Authority	Method
	<p>previous two years. This includes new academies that have not had the opportunity to produce two years of financial statements.</p> <p>Cumulatively, 5% of total annual income in any one financial year per category of transaction for any ATs that have submitted timely, unqualified financial returns for the previous two financial years.</p>		
The purchase or sale of any freehold property	Any	ESFA approval only	Must be approved by the ESFA
Granting any leasehold or tenancy agreement	Any	ESFA approval only	Must be approved by the ESFA
Taking up any leasehold or tenancy agreement for more than three years	Any	ESFA approval only	Must be approved by the ESFA
Writing off bad debts	Up to £2,000	CEO	Report to Finance, Audit and Staffing Committee
	Over £2,000	As above plus ESFA approval if it breaches delegated limits in AFH	
Disposal of moveable assets	Up to £2,000	Principal or Head of School	Amendments made to academy inventory.

Delegated Duty	Value	Delegated Authority	Method
(e.g. items on inventory)	£2,000 - £9,999	Principal / Head of School and CEO or Director of Business Strategy	As above
	£10,001-£19,999	As above plus Finance, Audit and Staffing Committee	As above
	£20,000 and above	As above plus approval for assets funded with more than £20k of ESFA / DfE grant	As above
Guarantees, Indemnities and letters of comfort	Any	Board of Directors plus ESFA	
Ex Gratia payments (good will payments)	Any	Board of Directors plus ESFA	
Severance payments / settlement agreements / compensation	Up to £50k	CEO reported to Board of Directors	<p>Trustees should reasonably consider the proposed payment to be in the interests of the trust.</p> <p>Trustees should consider whether such a payment is justified, based on a legal assessment of the trust's chances of successfully defending the case at tribunal. If there is a significant prospect of losing the case, then a settlement may be justified</p> <p>Any settlement fee must be less than the legal assessment of what the relevant body is likely to award in the circumstances.</p>
	Over £50k	Board of Directors plus ESFA	

Delegated Duty	Value	Delegated Authority	Method
			<p>Staff severance payments must not be made where they could be seen as a reward for failure, such as gross misconduct or poor performance. The only acceptable rationale in the case of gross misconduct would be where legal advice indicates that the claimant is likely to be successful in an employment tribunal claim because of employment law procedural errors. In the case of poor performance, an acceptable comparison would be the time and cost of taking someone through performance management and capability procedures.</p> <p>ESFA approval is required for proposed severance payments of £50k or more and this must be obtained BEFORE the trust makes any binding settlement offer to staff.</p> <p>Trusts should demonstrate value for money by applying the same level of scrutiny to a payment under £50k as if it were over £50k</p>

Delegated Duty	Value	Delegated Authority	Method
Responding to incidents of (or suspected) fraud, theft and / or irregularity	Any unusual or systematic fraud, regardless of value	CEO / Director of Business Strategy / Auditors to notify ESFA	Report to ESFA: <ul style="list-style-type: none"> - Full details of the event with dates - Financial value of loss - Measures taken by the trust to prevent recurrence - Whether the matter was referred to the police (and why if not) - Whether insurance cover or the RPA have offset any loss
	Under £5,000 (either individually or cumulatively in any financial year)	CEO / Director of Business Strategy / Auditors to notify Finance, Audit and Staffing Committee	
Investments		CEO/Director of Business Strategy /The Trust Board	The Trust Board will invest any surplus monies in high interest accounts, in line with the Investment Policy.

Gifts and Hospitality

All Academies under The Five Rivers Multi Academy Trust must deal with offers of gifts and hospitality with integrity, impartiality and honesty. The Five Rivers MAT Gifts and Hospitality Policy (January 2017) gives give clear guidance for all staff, trustees, temporary staff, volunteers and consultants on the appropriate action to take when offered a gift, hospitality, prize or reward from a client or when offering a gift, hospitality, prize or reward to a client.

8.8 Authorised Signatories:

Two or three from the following (according to authority levels outlined above)

Five Rivers Multi Academy Trust	Rebecca Webb Emma Farmer
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	Sheila Sutherland Muriel Eddowes Di Chilvers
Tinsley Meadows Primary Academy	Rebecca Webb Emma Farmer Deborah Sanderson Claire Norman Laura Chambers Esther Bloomer
Abbeyfield Primary Academy	Helen Best Helen Croud Kate Abel Emma Farmer Rebecca Webb

9 HR delegation

9.1 Introduction

At the present time, FRMAT purchases HR services from an external provider. In all situations requiring a panel/appeal panel, advice will be sort from the provider and an HR specialist will be available to advise the panel.

The FRMAT and all of its constituent academies will comply with HR regulations for schools and all other legal requirements in this area.

Employees will at all times be advised to consult their union or professional association.

9.2 Appointments

All appointment panels must contain at least one member who has undertaken Safer Recruitment Training.

If the CEO is unavailable to make up a panel, a representative may be nominated.

For specific appointments additional panel members may be selected.

Appointment	Mandatory Panel members
Chief Executive Officer	Minimum of 3 Trustees
Director of Business Strategy	A Trustee and CEO
Other FRMAT posts	Director of Business Strategy/CEO, depending on the nature of the post
Principal	Trustee and CEO
Other academy posts	Principal and CEO or CEO's representative or member of the ELT

9.2.1 Signatories

Letter of Appointment	Delegated Authority for Signature
CEO	Chair of the Trust Board
Directors	CEO
Principal	CEO
All other posts	Principal or /CEO/ Director of Business Strategy, if unavailable

9.3 Disciplinary cases and dismissals

The following situations, the delegation model, specified below will apply:

- disciplinary
- capability (professional competence)
- sickness absence
- redundancy - the Trust Board will have previously determined that there is Redundancy is required
- other substantial situations

If the CEO is unavailable to make up a panel, a representative may be nominated.

For specific hearings, additional panel members may be selected.

In all cases, an HR adviser from the bought-in HR Service, will attend to advise the Panel and the Appeal Panel.

In all cases, the hearings will be conducted according to the relevant FRMAT policy.

Post	Case presented by	Mandatory Panel Members	Mandatory Appeal Panel Members
Chief Executive Officer	Chair of Trust Board	A minimum of two Trustees	A minimum of two Trustees
Director of Business Strategy	Chair of Trust Board	A Trustee and the CEO	A minimum of two Trustees
Other FRMAT posts		Director of Business Strategy/CEO, depending on the nature of the post	A Trustee
Principal	CEO	A Trustee	A Trustee
All other academy posts	Principal	A member of the ELT. If an AIB exists, then a member of the AIB	A member of the ELT. If an AIB exists, then a member of the AIB

9.4 Grievance

If the CEO is unavailable to make up a panel, a representative may be nominated.

For specific hearings, additional panel members may be selected.

In all cases, an HR adviser from the bought-in HR Service, will attend to advise the Panel and the Appeal Panel.

In all cases, the hearings will be conducted according to the FRMAT Grievance policy.

Posts	Case presented by	Mandatory Panel Members	Mandatory Appeal Panel Members
Chief Executive Officer	Chair of Trust Board	A minimum of two Trustees	A minimum of two Trustees
Director Business Strategy	Chair of Trust Board	A Trustee and the CEO	A minimum of two Trustees
Other FRMAT posts		Director of Business Strategy/CEO, depending on the nature of the post	A Trustee
Principal	CEO	A Trustee and	A Trustee
All other academy posts	Principal	A member of the ELT. If an AIB exists, then a member of the AIB	A member of the ELT. If an AIB exists, then a member of the AIB

9.5 Performance Management

Personnel	Delegated Authority
CEO	Chair of Trust Board and one other Trustee
Director of Business Strategy	CEO and Chair of the Audit, Finance and Staffing Committee
All other FRMAT posts	Director of Business Strategy/CEO
Principal	CEO
All other academy posts	Reviewers agreed by Principal

9.6 Other Staffing Issues

9.6.1 'Acting up' roles and associated payment

If a role-holder is absent from work for a significant period, another employee of FRMAT may be offered the opportunity to take the role on a temporary basis with possible additional payment. The delegated authority for making decisions in these cases is shown below.

Role	Delegated Authority
CEO	The Trust Board on the recommendation of the Audit, Finance and Staffing Committee
Director of Business Strategy	The Trust Board on the recommendation of the Audit, Finance and Staffing Committee

MAT Team	The Trust Board on the recommendation of the CEO
Principal	The Trust Board on the recommendation of the CEO
Deputy Head/Head of School	The Trust Board on the recommendation of the CEO
All other Academy staff (SLT, teachers, business teams)	Principal on the advice of the CEO

9.6.2 New posts and restructuring of posts

Decision	Delegated authority
Staffing restructures	CEO and Director Business Strategy and Audit, Finance and Staffing Committee
Re-grading/Re-designation/increase in hours	CEO and Director Business Strategy and Audit, Finance and Staffing Committee
Creation of permanent new posts with salary above £50,000	The Trust Board with salary recommendation from Audit, Finance and Staffing Committee
Creation of permanent new posts with salary below £50000	CEO and Director Business Strategy
Creation of temporary new posts of up to 1 year	CEO and Director Business Strategy
Decision to make Redundancies	The Trust Board on the recommendation of the CEO and the Director of Business Strategy

9.6.3 Pay Issues

Issue	Delegated Authority
Revisions to Pay and Conditions	The Trust Board on the recommendation of the Audit, Finance and Staffing Committee (Remuneration Sub-Committee)
Authorisation of redundancy/early retirement payments	CEO and Director Business Strategy as long as redundancies were approved by Trust Board
Determination of CEO's pay range	The Trust Board on the recommendation of the Audit, Finance and Staffing

Issue	Delegated Authority
	Committee (Remuneration Sub-Committee)
Determination of pay range for an individual with a salary in excess of £50,000	The Trust Board on the recommendation of the Audit, Finance and Staffing Committee (Remuneration Sub-Committee)
Determination of pay range for an individual with a salary less than £50,000	CEO
Determination of pay progression of the CEO	The Trust Board on recommendation of the CEO's Performance Management Committee
Determination of pay progression of Deputy Head, Assistant Head and Senior Learning Mentor (others in the core SLT) within their pay grade	CEO recommendation to the Remuneration Sub-Committee and the Trust Board on the basis of Performance Management
Determination of pay progression of the Trust central team and Principals within their pay grade	CEO recommendation to the Remuneration Sub-Committee to be agreed by the Board on the basis of Performance Management
Determination of pay progression of teaching posts below Deputy Head within their pay grade (including Threshold)	Principal on agreement with CEO, reported to the Remuneration Sub-Committee on the basis of Performance Management
Administration of employment contracts, pay and conditions of services (with the exception of previous delegated authorities)	Director of Business Strategy (with reference to the CEO, and Principal as appropriate)

Note: Any other delegated authority, not described above, should be referred to the Trust Board for a decision

The term 'Principal' will include Acting Principal

10 Other areas of delegation

Responsibilities are delegated to specific post holders. Where the delegated post holder is the CEO or the Director of Business Strategy, they may, at their discretion, further delegate a responsibility to an appropriate member of the Trust team. However, in all cases, the CEO or the Director of Business Strategy, retains overall accountability for decisions and actions undertaken by Trust employees.

Area of delegation	Delegated Authority	Notes
Changes to the Admissions policy	CEO	All academies will follow the Trust's admissions policy
Capital Programme	The Trust Board	Proposed capital programmes must be submitted by June each year with the approval of the CEO and the Director of Business Strategy.
Capital Programme Contract Variations	CEO – if within agreed programme budget/contingency The Trust Board – if exceeding agreed programme budget/contingency	It is suggested that a 15% contingency is built into all programmes to allow for some local contract variation during the programme.
Health and Safety	The Regulations and Compliance Committee of the Trust Board and the nominated Trustee for Health and Safety.	<p>It is the responsibility of the Trust Board to ensure that health and safety laws are adhered to and the appropriate health and safety certificates are in place.</p> <p>Duty Holders are appointed who will oversee adherence to all aspects of H&S in the academies.</p> <p>The operational compliance of this function will be delegated on a day to day basis to the Director of Business Strategy's team.</p>
Fund raising for the MAT	Director of Business Strategy	Any funds generated belong to the MAT to be invested with the agreement of the Audit, Finance and Staffing Committee.
Fund raising for individual academies	Principal	Any funds generated belong to each individual academy to be invested in the future learning of the children.
Insurance	Director of Business Strategy	The Director of Business Strategy's team will use the Trust's purchasing power to ensure that all academies receive best value and value

Area of delegation	Delegated Authority	Notes
		for money with their insurance.
Permanent Exclusions	Principal and The Trust Board	All academies will follow the Trust's Behaviour Policy for permanent exclusions. The CEO will work with the Local Authority to ensure independent permanent exclusion appeals.
External Service Level Agreements / Contracts	Academy – Business Manager The Trust Board – Director of Business Strategy, CEO	All contracts and SLAs must be signed off by the DoBS. From time to time the Trust Board may procure SLAs on behalf of all academies as part of a best value review.
Safeguarding	The Education and Standards Committee of the Trust Board and the Safeguarding & LAC Trustee.	The Trust Board will, in respect of each academy, act in accordance with, and be bound by, all relevant statutory and regulatory provisions for safeguarding. All academies will follow The Trust Board's policy on safeguarding (the same staff identification scheme will operate in all academies).

Appendix 1 - Vision and Values

Our vision for Five Rivers MAT:

Five Rivers Multi-Academy Trust will be a close knit community of academies, providing a hub from which opportunities can be created and choices provided for all of our children. All of our children will receive the very best education, to enable them to lead well rounded and successful lives. We will provide a local forum for the provision of the highest standards of pupil outcomes and well-being. This provision will be influenced and guided by national and global developments in education; a development in which we also expect to be leaders.

We will be a financially sustainable local Trust, serving the Sheffield City Region and operating in areas of social challenge; acquiring ten academies in the first ten years of operation.

Our values are:

A 'whole child' focus

where

- every child can feel safe and secure, able to overcome emotional barriers and express their worries and concerns;
- partnerships with businesses, universities and independent schools allow us to raise our children's aspirations and outcomes;
- parents, families and carers are central to our academies' developments

through

- commitment to equality, dignity, and respect, we develop confident, positive citizens of the wider world through adherence to the United Nations Convention on the Rights of the Child, and to British values;
- pride in our local communities and celebration of our differences in faith, culture, religion and identity, we develop an integrated society.

Success for all

where

- all pupils are challenged to reach their full potential regardless of their background, culture and life experiences;
- each and every child receives individual, personalised support to become an autonomous, enthusiastic learner ready to achieve our unapologetically high expectations in relation to behaviour, attendance and attitudes to learning;
- our mastery approach to learning enables our children to grow in confidence and independence.

A Centre of Distinction in Teaching and Leadership

in which

- we have high expectations of ourselves and others and hold every individual to account for their contribution to children's outcomes;
- our Trustees provide the right level of challenge and support and foster a culture of accountability across the whole Trust, ensuring that our academies are governed effectively to secure the very best outcomes and continued improvement;
- we are committed to lifelong learning and professional development, to succession and sustainability and the development of leaders of the future;
- we recognise, nurture and preserve talent through bespoke support for new and experienced teachers thus ensuring that all teaching teams are good and outstanding in their practice;
- evidence-based research, intelligent use of data, collaborative learning and system leadership underpin our strategies for success;
- children's aspirations and outcomes are raised because of the opportunities that are generated through our partnerships with businesses, universities and independent schools;
- our strategies for teaching new arrivals and children who are new to English will become world-class as we develop our experience and expertise.

Appendix 2 - Safeguarding

Safeguarding

Safeguarding is an umbrella term that covers child protection and many more issues relating to the wellbeing of children.

On 3 April 2014, new statutory guidance – “Keeping Children Safe in Education (KCSIE)” came into effect. A separate advice note has been issued to all local governors including all of the updates that the new guidance entails.

Academies must comply with specific statutory requirements for safeguarding. These include:

- having clear policies, strategies and procedures to ensure the safeguarding and welfare of pupils, including those relating to behaviour, bullying, health and safety, harassment and discrimination;
- having a named Designated Safeguarding Lead (DSL) who is a member of the SLT in each academy;
- having a named governor for safeguarding;
- ensuring the recruitment of staff and volunteers in line with safer recruitment guidance and legislation;
- having procedures in place for dealing with allegations of abuse against members of staff or volunteers.

The Trust Board

The statutory requirements for safeguarding with which Trustees must comply, include:

- having an agreed, written child protection policy that is reviewed annually and shared with parents;
- having a named designated safeguarding lead who is a member of the SLT
- having a named Trustee for Safeguarding (Appendix 3);
- having child protection procedures in place;
- ensuring that, in line with safer recruitment guidance and legislation, there is training at an appropriate level for Appointments Panel members who recruit staff and volunteers;
- having procedures in place for dealing with allegations of abuse against members of staff or volunteers;
- ensuring that a Single Central Record (a legal requirement in the recruitment and vetting process for all schools) is fully up to date.

Neither the Trust Board nor the Safeguarding Trustee has a role in dealing with individual cases or a right to know case details.

The Safeguarding Trustee

The Safeguarding Trustee has a key role in keeping a focus on safeguarding. S/he is responsible for ensuring that safeguarding matters are kept on the agenda at Trust Board meetings and that trustees are kept informed about new legislation and the effectiveness of academy practice.

The role is strategic and should focus on:

- being aware of how much child protection work arises in each academy;
- ensuring that the Designated Safeguarding Lead (DSL) in each academy is properly supported and adequately resourced;
- ensuring that the Trust's statutory responsibilities are adequately resourced.

The Safeguarding Trustee should meet with DSLs on a regular basis to look at their workload and to discuss any emerging themes that might require resource.

There is a focus on local procedures and, therefore, all safeguarding procedures must now take into account the Local Safeguarding Children Board (LSCB) procedures. The DSLs and responsible Trustee must ensure that they are familiar with the local procedures which will differ depending on the locality of each academy.

Finally, the designated Trustee should check that staff and trustees are appropriately trained to keep their child protection knowledge up to date.

Appendix 3 – Role Holders

Non-Executive Directors

Di Chilvers
Muriel Eddowes
Sheila Sutherland

Members

Stephen Betts
Sue Hunter
Dr Paul Owen
Darren Pearce

Board of Trustees

Di Chilvers (Non Executive Director)
Muriel Eddowes (Non Executive Director))
Emma Farmer (Director of Business Strategy) – non-voting
Raj Joshi (Non Executive Director)
Judith Richardson (Non Executive Director)
Marc Rowland (Chair)
David Sandilands (Non Executive Director)
Sheila Sutherland (Non Executive Director)
Rebecca Webb (CEO) – non-voting

Finance Trustee: Judith Richardson

Information Governance Trustee: Muriel Eddowes

Health & Safety Trustee: Raj Joshi

Safeguarding & LAC Trustee: David Sandilands

Education and Standards Committee

Di Chilvers (Trustee)
Muriel Eddowes (Trustee)
Raj Joshi (Trustee)
David Sandilands (Trustee)
Sheila Sutherland (Trustee)
Emma Farmer (Director of Business Strategy)
Becky Webb (CEO)

Audit, Finance and Staffing Committee

Judith Richardson (Co-opted)
Marc Rowland (Trustee)
Vacancy
Vacancy
Emma Farmer (Director of Business Strategy)
Rebecca Webb (CEO)

Remuneration Sub-Committee

Judith Richardson (Co-opted)
Marc Rowland (Trustee)
Chair of the Education and Standards Committee
Chair of the Regulations and Compliance Committee
Emma Farmer (Director of Business Strategy)
Rebecca Webb (CEO)

Regulations and Compliance Committee

Muriel Eddowes (Trustee)
Raj Joshi (Trustee)
Judy Richardson (Trustee)
David Sandilands (Trustee)
Sheila Sutherland (Trustee)
Emma Farmer (Director of Business Strategy)
Becky Webb (CEO)

Executive Leadership Team

Rebecca Webb (CEO, Accounting Officer)
Emma Farmer (Director of Business Strategy)
Helen Best (Executive Head of School, Principal of Abbeyfield Academy)
Jamie Clarke (Executive Lead for Premises and Facilities)
Deborah Sanderson (Executive Head of School)
Maxine Binge (Finance Manager)
Alison Brigden (PA to Executive Team)

Abbeyfield Primary Academy

Academy Interim Board:

Helen Best (Principal)

Helen Croud (Deputy Head)

Muriel Eddowes (FRMAT Trustee)

Joel Hardwick (FRMAT Trustee, Chair)

Deborah Sanderson (Executive Head of School Tinsley Meadows Primary Academy)

David Sandilands (FRMAT Trustee)